

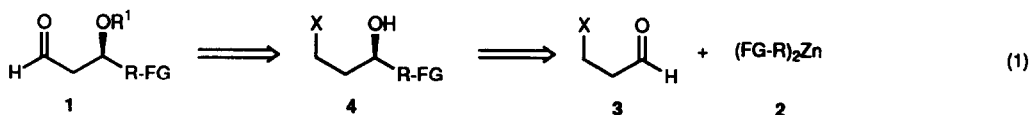
A New Catalytic Asymmetric Approach to Polyfunctional Aldol Products Mediated by Zinc Organometallics.

Paul Knochel^{1*}, Walter Brieden, Michael J. Rozema and Christina Eisenberg

Fachbereich Chemie der Philipps-Universität Marburg
Hans-Meerwein-Straße, D-35043 Marburg, Germany

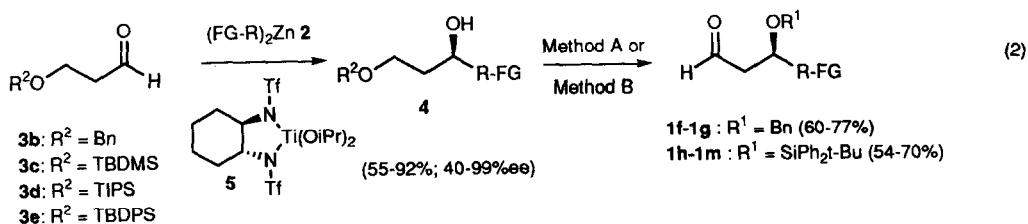
Summary: The catalytic asymmetric addition of functionalized dialkylzincs to β -alkoxyaldehydes provides after a short sequence of functional group interconversions aldol products in 40-99%ee. Their stereoselective transformation to either syn- or anti-1,3-diols has been performed.

The β -hydroxycarbonyl functionality, characteristic of aldol products, is found in many types of natural products. It is also a key building-block for the preparation of 1,3-diols.² The central place of the aldol functionality in organic chemistry explains why its stereocontrolled synthesis has been extensively studied during the last two decades.^{3,4} Most enantioselective approaches to aldol products require stoichiometric amounts of a chiral auxiliary⁵ and only a few catalytic asymmetric preparations of aldols have been described.⁶ We report herein a catalytic enantioselective synthesis of aldols **1** using a new synthetic strategy (eq. 1). The key step being the introduction of the chiral center using a catalytic asymmetric addition of a dialkylzinc **2** to a β -functionalized aldehyde of type **3**.^{7,8} The resulting alcohol **4** can then be converted in further steps to the protected aldol product **1** ($R^1 = \text{CH}_2\text{Ph}$ or SiR_3). In our first experiments, we used 3-(dimethylphenylsilyl)propanal **3a**⁹ ($X = \text{SiMe}_2\text{Ph}$) and found that functionalized dialkylzincs add to this aldehyde in the presence of *trans*-(1*R*, 2*R*)-bis-(trifluoromethanesulfonamido)cyclohexane **5** (8 mol%) with high enantioselectivity (96%ee; entries 1, 2 of Table I).^{10,11,12}

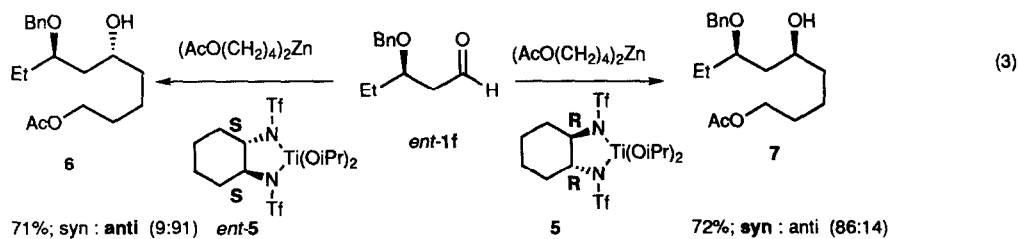


The conversion of **4a** ($X = \text{SiMe}_2\text{Ph}$) to a 1,3-diol derivative requires an extra oxidation step¹³ ((i) KBr , AcO_2H , NaOAc , AcOH , 25°C , 12h (ii) AcCl , Pyr , 0°C , 1h) and provides (*S*)-1,3,8-triacetoxyoctane in 60% yield. The lack of generality of this approach, led us to introduce an oxygen functionality directly into the aldehyde **3** ($X = \text{OR}^2$). This oxygen function has to have a low complexation ability in order to avoid a competitive complexation with the chiral titanium center which would result in a lower enantioselectivity. In an initial experiment, we have reacted 3-benzyloxypropanal **3b** ($R^2 = \text{CH}_2\text{Ph}$) under the usual conditions ($\text{Ti}(\text{OiPr})_4$ (2 equiv.), **5** (8 mol%), toluene, -20°C) with Et_2Zn (2 equiv.) providing the alcohol **4c** in 83%ee and 88% yield (entry 3 of Table I). A more bulky oxygen substituent like a *t*-Bu(Me)₂Si group furnishes a similar enantioselectivity (82-85%ee) but gives lower yields (62-65%; entries 4-5). A triisopropylsilyl protecting group ($R^2 = \text{TIPS}$) proves to give the best results (92%; 93%ee; entry 6) and 3-

triisopropylsilyloxypropanal **3d** reacts successfully with various diorganozincs (entries 6-13). An ester function is tolerated in the zinc reagent if it is separated by more than three carbon atoms from the carbon-zinc bond. Lower yields and enantioselectivities are obtained if the ester function is closer (entry 12) or if a chlorine is present in the carbon chain (59% yield, 66%ee; entry 13). Interestingly, the β -alkoxyaldehyde **3e** ($R^2 = \text{TBDPS}$) allows to add the relatively unreactive zinc reagent di(3-pivaloyloxypropyl)zinc with an excellent enantioselectivity (98%ee) although the yield (35%) still remains to be improved (compare entries 12 and 14). The resulting alcohols **4f-m** are readily converted into the benzyl protected aldol products **1f-h** (method A: (i) NaH, BnBr, THF; (ii) TFA, H₂O-THF or Bu₄NF, THF; (iii) PCC, CH₂Cl₂) in 60-77% overall yields or in TBDPS-ether protected aldol products **1i-m** (method B: (i) *t*-Bu(Ph)₂SiCl, imidazole, DMF, (ii) TFA, H₂O-THF; (iii) PCC, CH₂Cl₂) in 54-70% overall yields (eq. 2).



The aldehydes **1** can undergo the addition of a second different dialkylzinc affording stereoselectively 1,3-diols. Since both enantiomeric forms of the catalyst are readily available¹⁰, our method allows the preparation of all four stereoisomeric 1,3-diols. The addition of Et₂Zn to **3d** in the presence of the (1*S*, 2*S*)-catalyst (*ent*-**5** (8 mol%)) followed by method A furnishes the aldol *ent*-**1f** (70% overall yield; > 95%ee). The treatment of *ent*-**1f** with a dialkylzinc can now afford either the *anti* 1,3-diol **6** or the *syn* 1,3-diol **7** depending if *ent*-**5** or **5** is used.⁸ Thus, the reaction of *ent*-**1f** with (AcO(CH₂)₄)₂Zn in the presence of *ent*-**5** (8 mol%) provides mainly the *anti* 1,3-diol **6** (71% yield, *syn:anti* 9:91), whereas the performance of the same reaction using **5** (8 mol%) selectively gives the *syn* 1,3-diol **7** (72%; *syn:anti* 86:14) showing that this catalytic system displays a strong *reagent-controlled* stereoselectivity (eq. 3).



In conclusion, we have developed a new catalytic enantioselective preparation of functionalized aldol products. The addition of a dialkylzinc to these aldol products proceeds under reagent control and allows the preparation of either *syn* or *anti* 1,3-diols. The study of the scope of this reaction is currently underway in our laboratories.¹⁴

Table 1. Functionalized protected 1,3-alcohols **4** obtained by a catalytic enantioselective addition of (FG-R)₂Zn to aldehydes of type **3** and their conversion to aldols **1**.

entry	FG-R	X	4	yield of 4 (%) ^a	(% ee) ^b	R ¹	product 1	yield (%) ^a
1	(CH ₂) ₅ OAc	SiMe ₂ Ph	4a	70	96	-	-	-
2	(CH ₂) ₄ Cl	SiMe ₂ Ph	4b	70	96	-	-	-
3	Et	OCH ₂ Ph	4c	88	83	-	-	-
4	Et	OSiMe ₂ tBu	4d	62	85	-	-	-
5	Oct	OSiMe ₂ tBu	4e	65	82	-	-	-
6	Et	OSi(iPr) ₃	4f	92	93	CH ₂ Ph	1f	77
7	Pent	OSi(iPr) ₃	4g	83	95	CH ₂ Ph	1g	60
8	Oct	OSi(iPr) ₃	4h	82	93	CH ₂ Ph	1h	64
9	(CH ₂) ₅ OAc	OSi(iPr) ₃	4i	71	91	SiPh ₂ tBu	1i	70
10	(CH ₂) ₅ OPiv	OSi(iPr) ₃	4j	72	91	SiPh ₂ tBu	1j	61
11	(CH ₂) ₄ OAc	OSi(iPr) ₃	4k	62	99	SiPh ₂ tBu	1k	54
12	(CH ₂) ₃ OPiv	OSi(iPr) ₃	4l	55	40	SiPh ₂ tBu	1l	64
13	(CH ₂) ₆ Cl	OSi(iPr) ₃	4m	59	66	SiPh ₂ tBu	1m	67
14	(CH ₂) ₃ OPiv	OSiPh ₂ tBu	4n	35	98	-	-	-

^a All yields indicated are isolated yields of analytically pure products; ^b the enantiomeric excess has been determined by preparing the corresponding O-acetyl-mandelates using (S)-(-)-O-acetylmandelic acid (ref. 15)

Acknowledgements

We thank the Fonds der Chemischen Industrie the DFG (SFB 260) for generous support of this research and the Schering AG (Witco Bergkamen) for generous gift of chemicals. W. Brieden thanks the DFG for a postdoctoral fellowship. C. Eisenberg thanks the FES for a stipend.

References and Notes

Dedicated to Professor R.W. Hoffmann on the occasion of his 60th birthday.

(1) Alfred P. Sloan Foundation Fellow 1992-1993.

(2) Oishi, T., Nakata, T. *Synthesis* **1990**, 635.

(3) (a) Heathcock, C. H. in *Asymmetric Synthesis*, ed. J. D. Morrison, Academic Press, New York, **1984**, Vol. 3, p. 111; (b) Evans, D. A. in *Asymmetric Synthesis*, ed. J. D. Morrison, Academic Press, New York, **1984**, Vol. 3, p. 1; (c) Evans, D. A., Nelson, J. V., Taber, T. R. *Top. Stereochem.*, **1982**, *13*, 1; (d) Evans, D. A. *Aldrichimica Acta*, **1982**, *15*, 23; (e) Mukaiyama, T. *Org. React.*, **1982**, *28*, 203; (f) Masamune, S., Choy, W., Petersen, J. S., Sita, L. R. *Angew. Chem.*, **1985**, *97*, 1; (g) Braun, M. *Angew. Chem.*, **1987**, *99*, 24; (h) Li, Y., Paddon-Row, M. N., Houk, K. N. *J. Am. Chem. Soc.*, **1988**, *110*, 3684; (i) Roush, W. R. *J. Org. Chem.*, **1991**, *56*, 4151; (j) Denmark, S. E., Henke, B. R. *J. Am. Chem. Soc.*, **1991**, *113*, 2177.

(4) For other stereoselective preparations of aldol products: (a) Thaisrivongs, S., Seebach, D. *J. Am. Chem. Soc.*, **1983**, *105*, 7407; (b) Sato, F., Kusakabe, M., Kobayashi, Y. *J. Chem. Soc.; Chem. Commun.*, **1984**, 1130; (c) Liebeskind, L. S., Welker, M. E. *Tetrahedron Lett.*, **1984**, *25*, 4341; (d) Davies, S. G., Dordor-Hedgecock, I. M., Warner, P. *Tetrahedron Lett.*, **1985**, *26*, 2125; (e) Ambler, P. W., Davies, S. G. *Tetrahedron Lett.*, **1985**, *26*, 2129; (f) Hoffmann, R. W., Froech, S. *Tetrahedron Lett.*, **1985**, *26*, 1643; (g) Wulff, W. D., Gilbertson, S. R. *J. Am. Chem. Soc.*, **1985**, *107*, 503; (h) Hoffmann, R. W., Dresely, S. *Angew. Chem.*, **1986**, *98*, 186; (i) Molander, G. A., Hahn, G. *J. Org. Chem.*, **1986**, *51*, 2596.

- (5) (a) Evans, D. A., Bartroli, J., Shih, T. L. *J. Am. Chem. Soc.*, **1981**, *103*, 2127; (b) Oppolzer, W., Blagg, J., Rodriguez, I., Walther, E. *J. Am. Chem. Soc.*, **1990**, *112*, 2767; (c) Evans, D. A., Gage, J. R., Leighton, J. L. *J. Org. Chem.*, **1992**, *57*, 1964; (d) Braun, M., Devant, R. *Tetrahedron Lett.*, **1984**, *25*, 5031; (e) Roder, H., Helmchen, G., Peters, E.-M., Peter, K., v. Schnering, H.-G. *Angew. Chem.*, **1984**, *96*, 895; (f) Cardillo, G., Orena, M., Romero, M., Sandri, S. *Tetrahedron*, **1989**, *45*, 1501; (g) Sankhavasi, W., Yamamoto, M., Kohmoto, S., Yamada, K. *Bull. Chem. Soc. Jpn.*, **1991**, *64*, 1425; (h) Drewers, S. E., Melissar, D. S., Roos, G. *P. Chem. Ber.*, **1991**, *124*, 2913; (i) Myers, A. G., Widdowson, K. L. *J. Am. Chem. Soc.*, **1990**, *112*, 9672.
- (6) (a) Reetz, M. T., Kyung, S.-H., Bolm, C., Zierke, T. *Chem. and Ind. (London)*, **1986**, 824; (b) Kobayashi, S., Fujishita, Y., Mukaiyama, T. *Chem. Lett.*, **1990**, 1455; (c) Kobayashi, S., Uchiro, H., Fujishita, Y., Shiina, I., Mukaiyama, T. *J. Am. Chem. Soc.*, **1991**, *113*, 4247; (d) Ito, Y., Sawamura, M., Hayashi, T. *J. Am. Chem. Soc.*, **1986**, *108*, 6405; (e) Furuta, K., Maruyama, T., Yamamoto, H. *J. Am. Chem. Soc.*, **1991**, *113*, 1041; (f) Furuta, K., Maruyama, T., Yamamoto, H. *Synlett*, **1991**, 439; (g) Kiyooka, S.-I., Kaneko, Y., Komura, M., Matsuo, H., Nakano, M. *J. Org. Chem.*, **1991**, *56*, 2276; (h) Parmee, E. R., Tempkin, O., Masamune, S., Abiko, A. *J. Am. Chem. Soc.*, **1991**, *113*, 9365; (i) Corey, E. J., Cywin, C. L., Roper, T. D. *Tetrahedron Lett.*, **1992**, *33*, 6907; (j) Kobayashi, S., Shiina, I., Izumi, J., Mukaiyama, T. *Chem. Lett.*, **1992**, 373; (k) Reetz, M. T., Vougioukas, A. E. *Tetrahedron Lett.*, **1987**, *28*, 793; (l) Ito, Y., Sawamura, M., Hayashi, T. *J. Am. Chem. Soc.*, **1986**, *108*, 6405; (m) Ito, Y., Sawamura, M., Hayashi, T. *Tetrahedron Lett.*, **1987**, *28*, 6215; (n) Ito, Y., Sawamura, M., Shirakawa, E., Hayashizaki, K., Hayashi, T. *Tetrahedron Lett.*, **1988**, *29*, 235; (o) Ito, Y., Sawamura, M., Hayashi, T. *Tetrahedron Lett.*, **1988**, *29*, 239.
- (7) Rozema, M. J., AchyuthaRao, S., Knochel, P. *J. Org. Chem.*, **1992**, *57*, 1956.
- (8) For excellent reviews: (a) Evans, D. A. *Science*, **1988**, *240*, 420; (b) Noyori, R., Kitamura, M. *Angew. Chem.*, **1991**, *103*, 34; *Angew. Chem. Int. Ed. Engl.*, **1991**, *30*, 49; (c) Soai, K., Niwa, S. *Chem. Rev.*, **1992**, *92*, 833; (d) Duthaler, R. O., Hafner, A. *ibid.*, **1992**, *92*, 807.
- (9) For the enantioselective addition of Et₂Zn to β-alkoxyaldehydes see: Soai, K., Hatanaka, T., Yamashita, T. *J. Chem. Soc., Chem. Commun.*, **1992**, 927.
- (10) Galsbol, F., Steenbol, P., Sondergaard-Sorensen, B. *Acta Chem. Scand.*, **1972**, *26*, 3605.
- (11) (a) Yoshioka, M., Kawakita, T., Ohno, M. *Tetrahedron Lett.*, **1989**, *30*, 1657; (b) Takahashi, H., Kawakita, T., Yoshioka, M., Kobayashi, S., Ohno, M. *ibid.*, **1989**, *30*, 7095; (c) Takahashi, H., Kawakita, T., Ohno, M., Yoshioka, M., Kobayashi, S. *Tetrahedron*, **1992**, *48*, 5691.
- (12) For other enantioselective additions of dialkylzincs using a titanium catalyst: (a) Beck, A. K., Bastani, B., Plattner, D. A., Petter, W., Seebach, D., Braunschweiger, H., Gysi, P., LaVecchia, L. *Chimia*, **1991**, *45*, 238; (b) Schmidt, B., Seebach, D. *Angew. Chem.*, **1991**, *103*, 100; *Angew. Chem. Int. Ed. Engl.*, **1991**, *30*, 99; (c) Seebach, D., Behrendt, L., Felix, D. *Angew. Chem.*, **1991**, *103*, 991; *Angew. Chem. Int. Ed. Engl.*, **1991**, *30*, 1008; (d) Schmidt, B., Seebach, D. *Angew. Chem.*, **1991**, *103*, 1383; *Angew. Chem. Int. Ed. Engl.*, **1991**, *30*, 1321; (e) v. d. Bussche-Hünnefeld, J. L., Seebach, D. *Tetrahedron*, **1992**, *48*, 5719; (f) Seebach, D., Plattner, D. A., Beck, A. K., Wang, Y. M., Hunziker, D., Petter, W. *Helv. Chim. Acta*, **1992**, *75*, 2171.
- (13) Fleming, I., Sanderson, P. E. J. *Tetrahedron Lett.*, **1987**, *28*, 4229.
- (14) *Typical procedure: Preparation of bis-(4-acetoxybutyl)zinc:* A Schlenk flask equipped with an argon inlet, a septum cap, and a stirring bar was charged with 4-iodobutyl acetate (5.81 g, 24.0 mmol), CuI (ca. 2 mg, 0.01 mmol) and Et₂Zn (4.0 mL, 40 mmol). The reaction mixture was warmed to 50–55 °C (oil bath temperature) and stirred 10 h at this temperature. The flask was then connected to the vacuum (0.1 mm Hg) and the formed ethyl iodide and excess Et₂Zn was distilled over in a trap cooled with liquid N₂ (ca. 2 h at 50 °C). Toluene (8 mL) was added to the prepared zinc reagent and the resulting solution was cooled to -20 °C.
- Preparation of the catalyst:* A Schlenk flask equipped with an argon inlet, a septum cap, and a stirring bar was charged with the (1R, 2R)-catalyst **5** (120 mg, 0.3 mmol), toluene (2 mL), and Ti(OiPr)₄ (3.6 mL, 12 mmol). The reaction mixture was warmed to 40–45 °C and stirred 0.5 h at this temperature.
- Preparation of the alcohol 4k:* To the cooled solution of bis-(4-acetoxybutyl)zinc was added the toluene solution of the catalyst and Ti(OiPr)₄, prepared as above, and this mixture was stirred at -20 °C. After 10 min, 3-(triisopropylsilyloxy)propanal **3d** (920 mg, 4.0 mmol) was added and the reaction mixture was stirred at -20 °C overnight. After the usual workup (aqueous NH₄Cl, ether) the crude oil was purified by flash chromatography (hexanes:ether 9:1) to afford the alcohol (860 mg, 62%, 99%ee, [α]_D²⁵ = -7.25 (c = 3.86, C₆H₆).
- (15) Parker, D. J. *Chem. Soc., Perkin Trans. 2*, **1983**, 83.